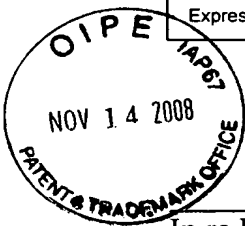


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1644

Express Mail Label No. EM 067 552 306 US Dated: November 14, 2008

Docket No.: RUJ-001CNRCE2
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ralph M. Steinman *et al.*

Application No.: 09/586,704

Confirmation No.: 7559

Filed: June 5, 2000

Art Unit: 1644

For: IDENTIFICATION OF DEC, A RECEPTOR
WITH C-TYPE LECTIN DOMAINS, NUCLEIC
ACIDS ENCODING DEC, AND USES
THEREOF

Examiner: R. B. Schwadron

STATEMENT UNDER 37 C.F.R. 1.825(a) and 1.825(b)Mail Stop Sequence
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

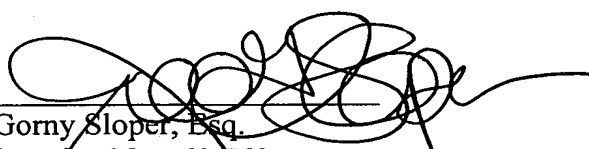
Dear Sir:

The submission of the substitute Sequence Listing filed concurrently herewith does not include new matter.

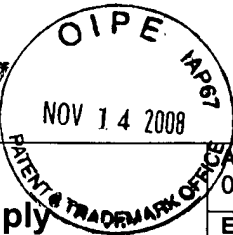
The copy of the substitute Sequence Listing in computer readable form filed concurrently herewith is the same as the paper copy of the substitute Sequence Listing filed concurrently herewith.

Dated: November 14, 2008

Respectfully submitted,

By 
Jill Gorny Sloper, Esq.
Registration No.: 60,760
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COPY



Notice to Comply

Application No.
09586704

Applicant(s)
STEINMAN ET AL.

Examiner
Ron Schwadron, Ph.D.

Art Unit
1644

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other:

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (571) 272-0731 or (571) 272-0951
For CRF Submission Help, call (571) 272-2510
PatentIn Software Program Support
Technical Assistance. 1-866-217-9197 or 703-305-3028 or 571-272-6845
PatentIn Software is Available At www.USPTO.gov

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COUNT SHEET FOR SEQUENCE CASES

Serial No. 09/586704

AE _____

Date of Count _____

Mark only one space below

(CRFN) (CRF is unreadable; use CRF Diskette
Problem Report)

_____ **XXXXX** _____

(CRFD) (CRF does not comply; use Notice to
Comply)

(CRFR) (CRF required but none submitted;
use Notice to Comply)

reporting bona fide attempt to comply;
use Notice to Comply and send copy
of RSL)

(non bona fide) (second or subsequent letter to
applicant reporting non-bona fide
attempt to comply; use
Notice to Comply and send

of RSL)

Examiner's Name: Ron Schwadron, Ph.D.

GAU: 1644